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### Thermal tolerance in Cutthroat Trout of the southern Rocky Mountains

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1           **Thermal tolerance in Cutthroat Trout of the southern Rocky Mountains**

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23

24 Abstract.—With temperatures expected to rise across the southern Rocky Mountains,  
25 the ability of native fishes to tolerate stream warming has become a critical concern for  
26 those tasked with preserving coldwater species. We used common garden experiments to  
27 evaluate the thermal tolerance of Cutthroat Trout *Oncorhynchus clarkii* fry from five  
28 populations important to managers representing three sub-species. Critical thermal  
29 maxima (CTM) were evaluated through traditional exposure trials, while optimal growth  
30 and ultimate upper incipient lethal temperatures (UUILT) were examined over the course  
31 of 21-day trials at six static temperature treatments. Whereas CTMs differed among  
32 populations (mean = 27.91°C, SD = 0.35°C), UUILTs did not (mean = 24.40°C, SD =  
33 0.04°C). Comparison of cubic temperature-growth functions to the traditional quadratic  
34 functions showed that adding a third-order term for temperature can improve model fit,  
35 and revealed substantial differences in optimal growth temperatures (15.4-18.3°C).  
36 Knowledge of these thermal tolerance thresholds will help to predict the consequences of  
37 a warming climate, identify suitable habitats for repatriation, and inform water quality  
38 temperature standards established to protect these fish into the future.

39

40 Keywords: Cutthroat Trout, adaptive capacity, thermal tolerance, critical thermal

41 maxima

42

43

44 Introduction

45 A radically altered future climate (Cook et al. 2004; Hansen et al. 2012; Hansen et  
46 al. 2013) is predicted to have dire consequences for the conservation of native coldwater

47 fishes (Rahel et al. 1996; Ficke et al. 2007; Paukert et al. 2021). In addition to challenges  
48 that accompany warming such as wildfire and drought (Brown et al. 2001; Howell 2006,  
49 Seager et al. 2007, 2013), temperature itself is a master abiotic driver affecting all  
50 physiological processes in ectotherms (Fry 1971, Whitney 2012), and Cutthroat Trout  
51 (*Oncorhynchus clarkii*) are no exception. An iconic species already suffering tenfold  
52 reductions in range in the southern Rocky Mountains since European settlement  
53 (Penaluna et al. 2016; Budy et al. 2019), Cutthroat Trout have long been the focus of  
54 intense conservation efforts (Gresswell 1988; Trotter 2008; Penaluna et al. 2016).  
55 Declines are primarily driven by the invasion of nonnative trout (Peterson et al. 2004;  
56 Fausch 2008; Meredith et al. 2017; Al-Chokhachy and Sepulveda 2019; Zeigler et al.  
57 2019), but the advent of a warming climate will bring additional challenges (Williams et  
58 al. 2009; Wenger et al. 2011; Isaak et al. 2012; Roberts et al. 2017). The distribution of  
59 trout is predicated by thermal requirements (Dunham et al. 2003; Al-Chokhachy et al.  
60 2013; Isaak et al. 2017), and some scientists have predicted substantial range contractions  
61 as a result of increasing temperature (Williams et al. 2009; Wenger et al. 2011; Isaak et  
62 al. 2012; Eby et al. 2014). A flurry of models have been developed to predict how  
63 remaining Cutthroat Trout populations will fare in the future (Roberts et al. 2013, 2017;  
64 Zeigler et al. 2019). These models focus on both acute and chronic thermal consequences  
65 of climate warming to Cutthroat Trout (Todd et al. 2008). Acute effects influence short-  
66 term survival, and a number of associated thresholds have been derived from lab-based  
67 studies (Becker and Genoway 1979; Johnstone and Rahel 2003; Bear et al. 2007;  
68 McDermid et al. 2012; Recsetar et al. 2012; Zeigler et al. 2013). Chronic effects  
69 influence the long-term growth and recruitment potential of trout exposed to a given

70 thermal regime and are also characterized by a variety of approaches (Harig and Fausch  
71 2002; Coleman and Fausch 2007a; Roberts et al. 2013; Isaak et al. 2017).

72 Models that assess rangewide persistence of Cutthroat Trout use thermal vital  
73 rates from rigorous lab studies conducted on a handful of Cutthroat Trout stocks (Bear et  
74 al. 2007; Coleman and Fausch 2007b; Zeigler et al 2013), applied uniformly to all  
75 populations within a subspecies (e.g., Roberts et al. 2013; Zeigler et al. 2019). However,  
76 some researchers have demonstrated that temperature tolerance can vary within  
77 subspecies (Wagner et al. 2001; Eliason et al. 2011; Drinan et al. 2012; Underwood et al.  
78 2012; Narum et al. 2013), and that even wild populations established from the same stock  
79 in warmer thermal regimes can develop higher thermal tolerance over time (K. Rogers,  
80 unpublished). Salmonids occupy variable and dynamic environments that foster  
81 adaptation on a local scale in response to temperature (Kaeding 1996; Jensen et al. 2008;  
82 Kavanagh et al. 2010; Narum et al. 2013; Whitney et al. 2013; Pearse and Campbell  
83 2018), and that adaptation can occur quickly (e.g., 9-14 generations in Sockeye Salmon,  
84 *O. nerka*; Hendry et al. 1998). Acknowledging and characterizing this variation in  
85 thermal tolerance will improve predictive ability of models forecasting future persistence.  
86 More importantly, it could identify thermally tolerant stocks that could be used to  
87 repatriate habitats that are predicted to become less thermally suitable in the future,  
88 leading to more successful reclamation projects. A better understanding of the range of  
89 thermal tolerance within subspecies will also inform setting water quality standards that  
90 protect habitat (Todd et al. 2008; Mandeville et al. 2019).

91 We explored acute and chronic measures of thermal tolerance in five populations  
92 of native Cutthroat Trout. To bracket a range of potential variation in local adaptation,

93 we included populations exposed to a broad spectrum of temperature regimes. We  
94 included Colorado River Cutthroat Trout *O. c. pleuriticus* (CRCT) from Milk Creek, a  
95 low-elevation population that exhibits a unique ability to persist in an unusually warm  
96 environment (Hodge et al. 2017). Greenback Cutthroat Trout *O. c. stomias* (GBCT) from  
97 Zimmerman Lake were included because they appear to thrive in hatcheries with very  
98 cold water (B. Johnson, Colorado Parks and Wildlife, personal communication). This  
99 population is particularly important as it was founded from Bear Creek progeny, the last  
100 representatives of the native trout of the Platte River basin (Metcalf et al. 2012; Rogers et  
101 al. 2018; Bestgen et al. 2019). The pure CRCT from Lake Nanita were included because  
102 they represent the widely stocked indigenous trout of Trappers Lake, following a 1931  
103 introduction into this historically fishless lake in Rocky Mountain National Park  
104 (Kennedy 2014). These oft studied fish serve as a useful baseline to compare this work  
105 to existing research on growth and thermal tolerance (e.g., Coleman and Fausch 2007b;  
106 Brandt 2009; Underwood et al. 2012). We included Yellowstone Cutthroat Trout *O. c.*  
107 *bouvieri* (YSCT) from LeHardy Rapids below Yellowstone Lake, as they too were  
108 widely stocked around the Rocky Mountain region, with 70 million YSCT distributed  
109 across Colorado from 1912-1953 (Varley 1979). Finally, we included the current  
110 population in Trappers Lake, the most prolific Cutthroat Trout broodstock in the southern  
111 Rocky Mountains, and the founding source of many robust extant wild CRCT  
112 populations (Rogers et al. 2018). Close to a million YSCT were stocked into Trappers  
113 Lake from 1943-1950 resulting in a hybrid swarm today (Martinez 1988; Leary and  
114 Allendorf 1991).

115

## 116 Methods

117 We collected fertilized Cutthroat Trout eggs for this study using a variety of  
118 methods. We conducted wild spawn operations on the four Cutthroat Trout populations  
119 native to Colorado (Table 1) during peak spawning activity in June of 2018. Adult males  
120 and females were collected from each population by electrofishing (Milk Creek, Trappers  
121 Lake), trapping (Zimmerman Lake), or seining (Lake Nanita). Each female was stripped  
122 into a dry bowl, then fertilized with milt from a single male. Five families were produced  
123 from each of the populations, with the exception of Milk Creek, where one of five  
124 females produced an insufficient number of eggs. In addition, five females from the  
125 Yellowstone Lake broodstock housed at the Story Hatchery in Story, Wyoming were  
126 fertilized with milt from wild male YSCT captured above LeHardy Rapids in the  
127 Yellowstone River, Yellowstone National Park. Fertilized eggs were immediately water  
128 hardened for 10 minutes in a 100-ppm buffered iodine bath (Argentyne, Argent  
129 Aquaculture LLC, Redmond, Washington), then transported to the Colorado State  
130 University Foothills Fisheries Laboratory in Fort Collins, Colorado.

131 All eggs were handled and incubated under common garden conditions in a flow-  
132 through laboratory system. Upon arrival at the lab, eggs were bathed in a 50 ppm iodine  
133 solution for 30 min. Families were then then split between two 10-cm diameter egg cups  
134 (Brinkman et al. 2013) and suspended in one of 24 randomly assigned 74-L round  
135 polyethylene tanks. Each tank was fitted with a center stand pipe drain to facilitate daily  
136 cleaning, and all tanks received water from a single head tank where water temperatures  
137 were regulated using a solenoid valve regulated by a digital temperature controller (Love  
138 model 16B AC; Dwyer Instruments, Michigan City, Indiana). Water temperatures were

139 recorded every 10 min by four temperature thermographs distributed throughout the  
140 system (HOBO U22 Pro v2; Onset Computer Corp., Bourne, Massachusetts). Each cup  
141 received 50 mL/s of 10°C water dripped over the top of a single layer of eggs incubated  
142 over a mesh screen (mean = 297 eggs/cup, 95% CI = ± 36 eggs). When over 90% of the  
143 embryos in an egg cup had hatched, the contents were decanted into the round tank in  
144 which the cups were bathed, and the date recorded as the hatch date for that family.  
145 Degree days (the cumulative sum of mean daily temperatures) from fertilization to hatch  
146 were calculated for each tank in WaTSS (Rogers 2015).

147 Fry from all populations were reared under common conditions. Input flows for  
148 each tank were set to 600 mL/s and rearing temperatures to 13°C. Emergent trout fry  
149 were fed five times per day with BioVita mash (BioOregon, Longview, Washington) and  
150 were supplemented with daily infusions of brine shrimp *Artemia spp.* nauplii. At three  
151 weeks post swim-up, the diet was switched over to BioVita starter feed exclusively,  
152 which was distributed five times per by day by automatic feeders (FishMate F14,  
153 Chewy.com, Dania Beach, Florida). Feed rates were adjusted per manufacturers  
154 specifications. Tanks were cleaned twice daily to remove uneaten food and waste. Lids  
155 were placed over the tanks to simulate overhead cover and reduce disturbance to the fish  
156 (Bear et al. 2007), and photoperiod was matched to ambient conditions over the course of  
157 the study. Survival of fry was monitored several times per day. We transformed  
158 survival data ( $\sqrt{\sin^{-1}}$ ) and used ANOVA to test for differences among populations. All  
159 statistical analyses were performed in R (R Core Team 2020) at  $\alpha = 0.05$ , unless  
160 otherwise specified.

161



162 *Critical Thermal Maxima.*—The critical thermal maximum (CTM) of each population  
163 was determined using 20 fry from each of the five families acclimated at 13°C. At 72 d  
164 post-hatch, individual fry were loaded into a cylindrical tolerance chamber (180 mm long  
165 x 38 mm diameter; working volume: 204 ml) following the design of Crocker and Cech  
166 (1997). Each chamber was fitted with an upstream flow diffuser to provide uniform  
167 distribution of water arriving at 450 ml/min. Water temperatures were regulated with a  
168 microprocessor-based temperature controller (Love C-series, Dwyer Instruments,  
169 Michigan City, Indiana) that mixed warm and cold water to achieve target temperatures.  
170 Fish were acclimated in the chambers for 60 min at 13°C, after which temperatures were  
171 increased 1°C every three minutes (Becker and Genoway 1979; Underwood et al. 2012;  
172 Brinkman et al. 2013). This increase was gradual enough to allow body temperatures to  
173 match ambient conditions (Brinkman et al. 2013), but rapid enough to prevent thermal  
174 acclimation (Smith and Fausch 1997). Temperatures were monitored to the nearest 0.1°C  
175 with a 12-channel scanning thermocouple thermometer (Model 69200, Eutech  
176 Instruments, Singapore), logging temperature in each chamber every 10 s. On final loss  
177 of equilibrium, temperature was reduced back to 13°C by removing the warm water line  
178 to the chamber. Fish were transferred to screened plastic cups and held in the 13°C  
179 rearing tanks for 24 hours to ensure full recovery from the CTM exposure. We tested for  
180 differences in critical thermal maxima using ANOVA and Tukey's HSD *post-hoc* test.

181

182 *Growth and Ultimate Upper Incipient Lethal Temperature.*— Growth and UUILT trials  
183 were conducted simultaneously by testing each population with three replicates at six  
184 different temperature treatments. Because of logistical constraints, we were only able to

185 run these experiments for 21 days. For each population, 18 lots of 15 fish, each  
186 representing an even mix of each of the remaining families, were isolated at 126 d post  
187 hatch. Each fish was weighed and measured, then lots were randomly assigned to 1 of 18  
188 9.6-L grow-out tanks (Model ZT950, Aquaneering Inc., San Diego, California). Tanks  
189 were plumbed into six different semi-closed recirculating grow-out systems, each with a  
190 working volume of 306 L. Target temperatures for each system were 11, 14, 17, 20, 23,  
191 and 26°C, maintained with either 800 W or 1500 W submersible titanium aquarium  
192 heaters with automatic temperature controllers (Finnex, Chicago, Illinois). Three  
193 replicate grow-out tanks each receiving 500 ml/min at each temperature for each  
194 population were set in holding baths of the same temperature (also regulated with  
195 submersible heaters), to insulate against temperature swings. Any mortalities during the  
196 growth experiment were weighed and measured, then preserved. After 21 d, the fish  
197 were euthanized with MS-222 (10 min exposure in 250 mg/L buffered with sodium  
198 bicarbonate), and each was measured and weighed.

199         With different populations reaching the 126 d post-hatch start threshold at  
200 different times, it was impossible to acclimate fish by increasing 1°C each day until target  
201 temperatures were reached, as in other studies (Bear et al. 2007; Brinkman et al. 2013;  
202 Zeigler et al. 2013). Accordingly, we acclimated fish by moving their tanks through the  
203 temperature ladder provided by the grow-out system baths. For example, fish that were  
204 destined to spend 21 d at 23°C were moved from the 13°C round rearing tanks to three  
205 14°C chambers three days prior to the experiment, then to the 17°C bath two days prior,  
206 followed by the 20°C bath the day before, reaching the target temperature of 23°C on

207 Day 0. With each transition, the volume of water in the tank was replaced with new (3°C  
208 warmer) water over an 18 min period, which served as the tempering phase each day.

209 We used established analytical methods to calculate the UUILT and optimal  
210 growth temperature (OGT) of each population, with one notable exception. We  
211 estimated the UUILT as the median lethal temperature survived by 50% of the population  
212 for 7 days and 21 days using the trimmed Spearman–Karber technique (Hamilton et al.  
213 1977) in the ecotoxicology package (EPA 2015) for R. Relative daily growth rate was  
214 calculated as in Bear et al. (2007) for each tank and plotted against mean temperature for  
215 that tank, then fitted with a second-order (quadratic) polynomial regression, as is  
216 customary (Eaton et al. 1995; Lyttikainen and Jobling 1998; Bear et al. 2007; Zeigler et  
217 al. 2013; Brinkman et al. 2013). Because several of the data sets illustrated an  
218 asymmetric response in growth to temperature, we also fit a third-order (cubic) regression  
219 model for each population (Bevelhimer et al. 1985). We then estimated OGT from  
220 predicted response curves, and used a bootstrap approach (e. g. Manly 1991) to calculate  
221 95% confidence intervals around each estimated optimum. We resampled growth data  
222 for each population at each temperature step with replacement, then refit the quadratic  
223 and cubic curves 1,000 times to generate a distribution around the test statistic (observed  
224 OGT). Relative support for quadratic and cubic models was compared using Akaike’s  
225 information criterion adjusted for small sample sizes (AICc; Burnham and Anderson  
226 2002). A cubic model was considered better-supported than the nominal quadratic model  
227 if adding the third-order term reduced the AICc by more than four units, as that would  
228 indicate little to no support for the quadratic fit (Burnham and Anderson 2002).

229

230 Ethics approval: Research was conducted in accordance with all applicable laws,  
231 guidelines and regulations, and was approved by the Colorado State University  
232 Institutional Animal Care and Use Committee (protocol #16-6670A).

233

## 234 Results

235 Survival from spawn to the onset of temperature trials ranged from 39% to 61%  
236 and was comparable among the five Cutthroat Trout populations ( $F_{4,19} = 0.958$ ,  $P =$   
237  $0.453$ ). Mean survival from spawn to hatch ranged from 53% in the Yellowstone eggs to  
238 89% in the Trappers Lake eggs (overall mean = 70%), and mean survival from hatch to  
239 72 d post hatch ranged from 61% in GBCT from Zimmerman Lake to 75% in CRCT  
240 from Nanita Lake (overall mean = 68%). Neither survival from spawn to hatch, nor  
241 survival from hatch to 72 post hatch differed among populations ( $F_{4,19} \leq 2.251$ ,  $P \geq$   
242  $0.102$ ). The range in survival rates was as large or larger within some populations as it  
243 was across populations. For example, survival from spawn to 72 d post hatch ranged  
244 from 34% to 61% among GBCT families from Zimmerman Lake. Measured degree-days  
245 (from 0°C) to hatch averaged 310°C-days across all families, but ranged from 268 -  
246 370°C-days. The number of degree-days required for fertilized eggs to hatch was also  
247 variable between populations, with mean values ranging from 277°C-days in trout from  
248 Trappers Lake to 341°C-days in CRCT from Lake Nanita.

249

## 250 *Acute effects - CTM and UUILT*

251 The two acute temperature metrics of CTM and UUILT offered contradictory  
252 outcomes. Critical thermal maxima differed between populations ( $P < 0.05$ ; Figure 1),

253 with Milk Creek and Lake Nanita CRCT both tolerating significantly lower (27.5°C)  
254 temperatures than Yellowstone River YSCT and Zimmerman Lake GBCT (28.2°C and  
255 28.3°C respectively). Average CTM for the admixed progeny from Trappers Lake  
256 (27.9°C) was intermediate between the ancestral sources, and not significantly different  
257 from either (Figure 1). All but two of the 462 fish subjected to this thermal stress test  
258 recovered within 24 hrs of the CTM trial. Ultimate upper incipient lethal temperatures  
259 did not differ among populations, during either the 7-day or 21- day trial (Table 2).  
260 Population metrics were comparable between trials and the difference between 7-day and  
261 21-day estimates of the UUILT never exceeded 0.09°C.

262

### 263 *Chronic effects – OGT*

264 Growth rates differed among populations and temperatures (Figure 2). All  
265 populations grew at the 14°C and 17°C treatments (though not necessarily at the same  
266 rates); all but Zimmerman Lake fish grew consistently at 20°C; and only one grow-out  
267 tank (a Trappers Lake lot) accumulated weight at 23°C. All populations perished at the  
268 26°C treatment. The maximum relative growth rate of hybrid Cutthroat Trout from  
269 Trappers Lake (mean maximum = 3.70% at 20°C) was 6-7x higher than the maximum  
270 growth rate of GBCT from Zimmerman Lake (mean maximum = 0.57% at 14°C).

271 When predicting growth as a function of temperature, cubic models performed as  
272 well or better than quadratic models (Table 3). With cubic equations, temperature and its  
273 two higher order terms explained from 81% to 96% of the variation in relative growth  
274 (mean = 90%); whereas, with quadratic equations, temperature and its second order term  
275 explained from 76% to 96% of the variation in relative growth (mean = 85%). For three

276 of five populations, the quadratic model performed as well as the cubic model, but in the  
277 remaining two, the cubic fit was superior ( $\Delta AICc > 4$ ). For those two populations,  
278 estimates of optima differed significantly between second- and third-order equations.  
279 Because cubic models performed as well (3 of 5) or better (2 of 5) than quadratic models,  
280 we focused on the former for comparisons between populations.

281         Based on the results of cubic temperature-growth models, OGT differed among  
282 populations (Table 3). Two groups emerged with more than 2°C of separation between  
283 them. Optimal growth temperatures were 15.4-15.6°C in GBCT from Zimmerman Lake  
284 and CRCT from Lake Nanita, versus 17.7-18.3°C in CRCT from Milk Creek, YSCT  
285 from Yellowstone River, and introgressed hybrids of the two (CRCT x YSCT) from  
286 Trappers Lake.

287

288 Discussion

289         Exposure of five Cutthroat Trout stocks to thermal challenges in a common  
290 garden framework showed that thermal tolerance (particularly for chronic measures like  
291 OGT) are variable and hereditary. In addition, these traits may reflect adaptation to natal  
292 water temperature conditions. Acute challenges yielded more unexpected results either  
293 not differing between stocks (UUILT) or not reflecting environmental conditions in the  
294 founding source waters (CTM). This may suggest that while adaptation for improved  
295 growth in a warming climate can occur, adaptation toward thermal tolerance during acute  
296 challenges may be more difficult to achieve, complicating conservation of these iconic  
297 fish.

298

299 *Survival*

300 Survival from spawn to experiment was surprisingly similar and high among wild  
301 Cutthroat Trout populations used in this study. On average, 70% of eggs spawned and  
302 fertilized in the wild hatched, with 99% hatching in some families. Even the Zimmerman  
303 Lake embryos that are especially challenging to raise (B. Johnson, Colorado Parks and  
304 Wildlife, unpublished data), saw average survival to hatch of 71% and survival from  
305 spawn to 72 d post hatch of 43%. We attribute the high rates of survival to intensive  
306 husbandry practices only possible with small lots of fertilized eggs. Keeping individual  
307 families separate and eggs distributed in a single layer resting in the bottom of the egg  
308 cups allowed early detection of fungal infections that can ravage developing embryos  
309 (Arndt et al. 2001). Even with these intensive culture practices, we still saw extreme  
310 variation in survival to hatch among families consistent with other studies that suggest  
311 individual female egg quality is strongly correlated with embryo survival (Wipf and  
312 Barnes 2012). Because survival prior to the temperature experiments did not differ  
313 among populations, we can assume that differences in thermal trial performance were  
314 indeed the result of variability in thermal tolerance.

315

316 *Acute effects - CTM and UUILT*

317 While CTMs in this study differed among populations, our observed values of  
318 27.5 – 28.3°C fell within the range of 26.7 - 29.1°C documented in a half dozen other  
319 salmonid taxa reviewed by Brinkman et al. (2013). Interestingly, our mean CTM for the  
320 Lake Nanita fish acclimated to 13°C (27.5°C) was slightly higher than that reported by  
321 Underwood et al. (2012) for age 1+ year fish of the same stock acclimated to 15°C

322 (26.9°C), but consistent with their finding of reduced thermal tolerance with age also  
323 seen with size in other trout studies (Galbreath et al. 2006; Brinkman et al. 2013). Our  
324 CTM values did not appear to reflect the thermal regimes of the source waters very well,  
325 however (Figure A.1). Cold Lake Nanita and warm Milk Creek shared the same CTM  
326 value (27.5°C), while GBCT from Zimmerman Lake that are more successfully cultured  
327 in cold water displayed the highest CTM (28.3°C). The lower value for Milk Creek fish  
328 was unexpected given the extreme thermal conditions they face in their natal waters  
329 (Hodge et al. 2017), however behavioral plasticity coupled with extreme variation in diel  
330 temperatures can allow fish to persist in otherwise unforgiving environments (Schrank et  
331 al. 2003; McCullough et al. 2009; Hodge et al. 2017).

332 Our findings regarding UUILTs both aligned with and differed from other  
333 observations of Cutthroat Trout. During our experiment, the traditional 7-d test (e.g.,  
334 Brett 1952; Dickerson and Vinyard 1999; Johnstone and Rahel 2003) yielded a mean  
335 UUILT of 24.4°C (range = 24.3°C – 24.5°C). Zeigler et al. (2013) obtained a 7-d UUILT  
336 value of 24.7°C for Rio Grande Cutthroat Trout fry and Bear et al. (2007) documented  
337 24.2°C for Westslope Cutthroat Trout. Bear et al. (2007) suggested that sharp declines in  
338 survival could occur beyond the 7-d time interval, noting that in their 60-d trial, UUILT  
339 was 1.8°C lower for Rainbow Trout and 4.6°C lower for Westslope Cutthroat Trout. Our  
340 21-d trials did not suggest a similar drop, with the mean UUILT value remaining at  
341 24.4°C, though with near 100% survival in the 23°C trial, and 0% survival in the 26°C  
342 group, we were not able to resolve fine scale differences in UUILT that might have  
343 materialized if more temperature treatments could have been used.



344 Differences in acute effects between subspecies of Cutthroat Trout studied here  
345 were either relatively small (CTM) or nonexistent (UUILT) similar to some other studies  
346 on salmonids (McCullough 1999; McCullough et al. 2009). Given variation seen in other  
347 CRCT however (Underwood et al. 2012), we were surprised to not see elevated lethal  
348 threshold thermal tolerance among the Milk Creek fish given the fairly hostile  
349 environment in which they evolved. Even more surprising was the apparent lack of  
350 correlation between the thermal regimes experienced by the host populations (Table A.1)  
351 and CTM and UUILT values (Figure A.1). This suggests that perhaps upper thermal  
352 tolerance limits are governed by molecular pathways that may not be very plastic (Chown  
353 et al. 2010; Logan and Buckley 2015; Ooman and Hutchings 2017), and that even with an  
354 evolutionary history in warm thermal environments, these subspecies do not gain much  
355 additional lethal threshold tolerance. This is concerning to those involved with  
356 conservation efforts because it might be evidence that these fish are already operating at  
357 close to the maximum attainable level of thermal tolerance. Continued increases in  
358 environmental temperatures, even when those acclimation temperatures approach those  
359 of putative optimal growth, may not derive further thermal acclimation benefits.

360

#### 361 *Chronic effects – OGT*

362 A different message was delivered by examination of OGT, consistent with other  
363 sub-lethal temperature response studies on salmonids (Steel et al. 2012). Our observed  
364 optima in CRCT from Lake Nanita and GBCT from Zimmerman Lake (15.4-15.6°C) are  
365 similar to those observed during other laboratory-based experiments. For example,  
366 Zeigler et al. (2013) showed peak growth in a sister taxon, the Rio Grande Cutthroat

367 Trout (*O. c. virginalis*, at 15.3°C, while Brandt (2009) demonstrated optimal growth in  
368 CRCT at 15.3–16.4°C. Bear et al. (2007) found that Westslope Cutthroat Trout (WSCT;  
369 *O. c. lewisi*) growth peaked at a slightly colder 13.6°C. Our observed optima in CRCT  
370 from Milk Creek, YSCT from Yellowstone Lake, and CRCT-YSCT hybrids from  
371 Trappers Lake (17.7-18.3°C) however, are more similar to the OGT of Brown Trout  
372 *Salmo Trutta* and Rainbow Trout *O. mykiss* than to those of other Cutthroat Trout taxa  
373 (Brinkman et al. 2013, and sources therein). The seemingly anomalous temperature  
374 optima are at least in part due to fitting differences between quadratic and cubic models.  
375 Based on fitted quadric curves, the OGT of the two populations with Yellowstone  
376 Cutthroat Trout alleles are only 16.5-16.9°C.

377         Although researchers typically estimate OGT from the fitted curve of a quadratic  
378 regression model (e.g., Bear et al. 2007; Zeigler et al. 2013; Brinkman et al. 2013), our  
379 findings suggest that in some cases, optima are better isolated from the curve of a cubic  
380 model. While the quadratic function performed as well as the cubic function in three of  
381 five cases, the cubic function was clearly superior in the remaining two ( $\Delta AIC > 4$ );  
382 Importantly, for these remaining two, both quadratic and cubic functions arrived at the  
383 same OGT values (Table 3), suggesting that the cubic approach worked in all cases while  
384 the quadratic only in some (60%). In addition, in four of five cases, adding a cubic term  
385 increased the explanatory power ( $R^2$ ) of the temperature-growth model. Comparison of  
386 quadratic and cubic models for the Trappers Lake population illustrates the potential  
387 limitation of applying an implicitly symmetrical relationship to asymmetrical data.  
388 Whereas the fitted curve from the quadratic function ran below all data points at the 20°C  
389 temperature step (the treatment at which Trappers Lake fish exhibited the highest mean

390 growth rate), the fitted curve for the cubic function ran through the middle of those data  
391 points. With the improvement in fit came a significant increase in the estimated OGT  
392 (from 16.9°C to 18.3°C).

393         The accuracy of estimated growth optima could be especially relevant when  
394 evaluating the fundamental thermal niche these fish occupy. Defined as the range from  
395 3°C lower to 1°C higher than the OGT (Christie and Regier 1988), this niche would  
396 range from 13.4°C -17.4°C for the Milk Creek trout fit with a quadratic function. Yet our  
397 own results suggest that these fish grow as well or better at 20°C – outside the range of  
398 that niche. One expects that as temperature exceeds limits defined by the fundamental  
399 thermal niche, a decrease in individual growth and a reduction in population viability  
400 should occur (Zeigler et al. 2013). More importantly, laboratory based studies such as  
401 these are used to establish thermal habitat protection standards (Armour 1991; Todd et al.  
402 2008), yet these very standards would serve to disqualify habitats for future reclamation  
403 efforts in waters where the fish could exhibit their fastest growth. Addition of a third  
404 order term to the polynomial used to fit the data would help mitigate that risk, and allow  
405 continued use of the optimum growth temperature to characterize the upper range of  
406 suitable thermal habitat for the long-term persistence of salmonids (McCullough 1999;  
407 Selong et al. 2001; Dunham et al. 2003). However, we should recognize that selecting  
408 suitable habitat based only on growth optima may ignore the ability for local food  
409 resources (quality and quantity) to keep up with increased metabolic demand needed for  
410 trout to thrive in warmer water.

411         We expected to see support for local thermal adaptation in growth within the  
412 Cutthroat Trout populations given the findings of others (McCullough et al. 2009; Drinan

413 et al. 2012; Underwood et al. 2012; Whitney et al. 2013). Unlike the acute metrics, the  
414 sublethal measure of growth did follow our expectation of warmer host waters producing  
415 fish that displayed improved growth at warmer temperatures (Figure A.1), with OGT  
416 occurring for Milk Creek fish at 17.7°C. Optimal growth temperatures in YSCT were  
417 also high (17.8°C), perhaps because these trout likely occupied many waters historically  
418 that exceeded 26°C (Varley and Gresswell 1988). This trait appears to be heritable as it is  
419 also manifested in the current progeny from Trappers Lake that now contain many YSCT  
420 alleles (Martinez 1988; Leary and Allendorf 1991; Rogers et al. 2018), despite the  
421 original inhabitants of that lake (now found in Lake Nanita) displaying much lower  
422 growth at higher temperatures (Figure 2).

423         One of our more interesting findings was that Trappers Lake fish exhibited the  
424 highest growth rate. Although this population of mixed (CRCT x YSCT) origin  
425 displayed a CTM and OGT that was intermediate to its two ancestral stocks, it grew  
426 faster at temperature than both ancestral stocks (estimated peak growth of 4.1% vs 1.6-  
427 2.1% per day). Hybrid vigor could play a role in boosting growth (Donaldson et al. 1957;  
428 Rosenfield et al. 2004). However, we should not necessarily be persuaded into equating  
429 strong growth with population performance. While growth in GBCT from Zimmerman  
430 Lake was startlingly poor, slow growth could be advantageous in the small, pool-limited  
431 stream where this wild brood stock was sourced (Bear Creek; J. Valladares, U. S. Forest  
432 Service, unpublished data).

433

434 Management implications

435           Here we demonstrated that Cutthroat Trout stocks respond differently to variation  
436 in thermal regime, that differences are heritable, and that models used to predict future  
437 persistence should account for this variation. Clearly, some stocks of trout are more  
438 tolerant of warm temperatures than others, and will therefore display unequal suitability  
439 to future environmental conditions (Whitney et al. 2013). Field experiments should be  
440 conducted to determine if these apparent fitness benefits translate into improved  
441 population viability in the face of a warming climate. Consideration of stock-specific  
442 attributes of thermal tolerance can be used to help guide which stocks would be best  
443 suited for lower elevation waters that are facing the immediate consequences of climate  
444 change. Similarly, knowledge of stock-specific limitations might inform the choice of  
445 streams or habitats for repatriation. For example, results of this study revealed that the  
446 thermal niche for remaining GBCT appears to be quite narrow and thus the candidate  
447 pool of potential recipient waters relatively small. Thermal regimes in these waters  
448 should be studied carefully before embarking on costly and labor-intensive reclamation  
449 projects.

450           Although we observed considerable variation between stocks in temperature-  
451 growth relationships, we found relatively little variation in acute temperature measures  
452 such as CTM and UUILT. This suggests differences are found at the margin, and that the  
453 capacity to adapt could be limited at the upper bounds. If habitat is variable enough to  
454 allow for quick behavioral shifts in habitat use (e.g., Kaeding 1996; Hodge et al. 2017),  
455 then persistence is likely. However, if a warming climate eliminates those refugia, the  
456 ability of these fish to persist will be challenged.

457

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470

## 471 Author contribution statement:

472 KBR: Conceptualization, data curation, formal analysis, funding acquisition,  
473 investigation, methodology, project administration, resources, software, supervision,  
474 validation, visualization, writing (original).

475 BJS: Data curation, investigation, methodology, validation, writing (review and editing).

476 BWH: Conceptualization, formal analysis, methodology, visualization, writing (review  
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478 CAM: Conceptualization, funding acquisition, methodology, project administration,  
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486

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805 doi:10.1002/nafm.10320
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808 Table 1.— Colorado River Cutthroat Trout (CRCT), Greenback Cutthroat Trout (GBCT),  
 809 and Yellowstone Cutthroat Trout (YSCT) populations examined in this study, including  
 810 their location (decimal degrees) and spawn date for wild egg collections in 2018.

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813 Population                      Subspecies      Latitude (°N)      Longitude (°W)      Spawn Date

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814 Milk Creek<sup>a</sup>                      CRCT                      40.170                      107.660                      June 1

815 Zimmerman Lake<sup>b</sup>                      GBCT                      40.541                      105.869                      June 22

816 Lake Nanita<sup>c</sup>                      CRCT                      40.256                      105.716                      June 28

817 Yellowstone River<sup>d</sup>                      YSCT                      44.573                      110.372                      May 17

818 Trappers Lake<sup>e</sup>                      CRCT                      39.986                      107.232                      June 9

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820 <sup>a</sup>Putative aboriginal population native to the lower Yampa River basin

821 <sup>b</sup>Population founded in 2014 with progeny from Bear Creek derived broodstock; native  
 822 Cutthroat Trout of the South Platte River basin

823 <sup>c</sup>Founded from pure Trappers Lake stock in 1931

824 <sup>d</sup>Female YSCT from the Story Hatchery were fertilized with wild males captured from  
 825 above LeHardy Rapids on the Yellowstone River, source of Colorado introductions

826 <sup>e</sup>CRCT now hybridized with Yellowstone Cutthroat Trout stocked in the lake from 1943-  
 827 1950

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829

830 Table 2.— Ultimate Upper Incipient Lethal Temperatures (UUILT; °C) and 95%  
 831 confidence limits (CL) for Cutthroat Trout populations examined during 7-day and 21-  
 832 day trials.

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835	Population (sub-species)	7-day (95% CL)	21-day (95% CL)
836	Milk Creek	24.34 (24.20, 24.47)	24.34 (24.20, 24.47)
837	Zimmerman Lake	24.45 (24.45, 24.45)	24.44 (24.39, 24.48)
838	Lake Nanita	24.45 (24.45, 24.45)	24.40 (24.32, 24.49)
839	Yellowstone River	24.42 (24.35, 24.49)	24.42 (24.35, 24.49)
840	Trappers Lake	24.51 (24.41, 24.62)	24.42 (24.35, 24.49)

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844 Table 3.— Optimal growth temperatures, associated 95% confidence limits, and model fits ( $R^2$ ) for five populations of Cutthroat  
 845 Trout. We evaluated support for second-order (quadratic) or third-order (cubic) temperature-growth models within each population  
 846 using AICc (Akaike's Information Criterion corrected for small sample size).

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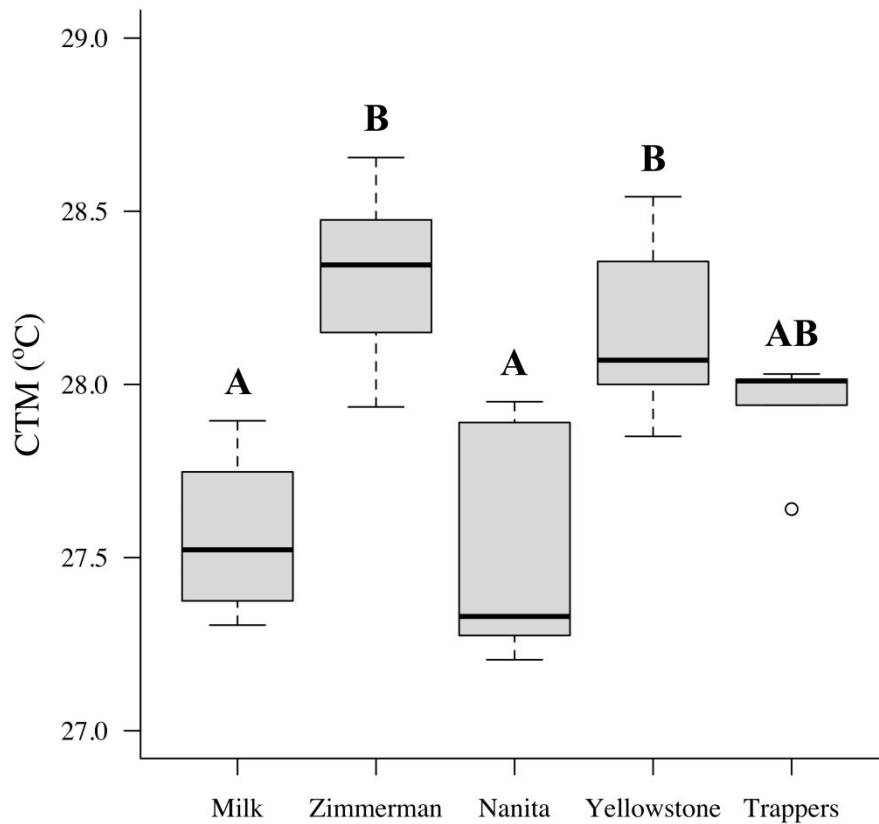
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Population	Quadratic			Cubic		
	Optimum (°C)	$R^2$	AICc	Optimum (°C)	$R^2$	AICc
Milk Creek	16.36 (16.19, 16.53)	0.85	19.485	17.74 (16.78, 18.43)	0.92	13.987
Zimmerman Lake	15.14 (14.60, 15.52)	0.96	-3.833	15.59 (14.94, 16.27)	0.96	-1.683
Lake Nanita	16.09 (15.86, 16.29)	0.90	19.210	15.42 (14.97, 16.16)	0.92	19.376
Yellowstone River	16.48 (16.07, 16.78)	0.76	31.356	17.85 (16.48, 18.60)	0.81	30.682
Trappers Lake	16.86 (16.61, 17.18)	0.79	44.690	18.30 (17.55, 18.78)	0.90	37.738



861 Rogers et al.  
862 Figure 1  
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866 Figure 1.— Comparison of critical thermal maxima (CTM) among five populations of

867 Cutthroat Trout. Thick horizontal black bars represent population-specific medians (°C).

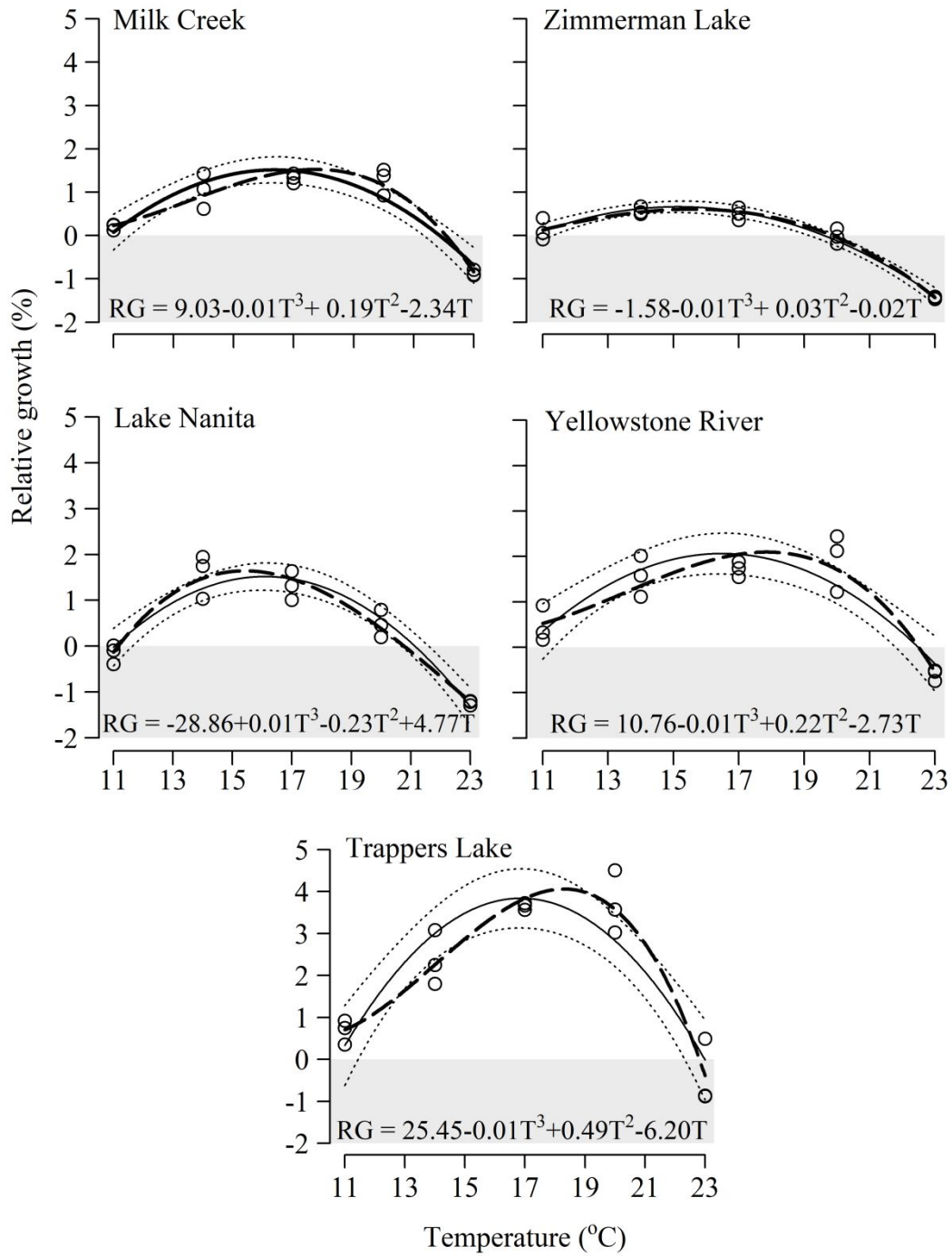
868 Boxes span the interquartile range and whiskers extend 1.5x past that range. Populations

869 that are not significantly different from each other ( $P > 0.05$ ) share the same bold letter

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872 Rogers et al.  
 873 Figure 2



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 876

877 Figure 2.— Relative daily growth as a function of temperature in five populations of  
 878 Cutthroat Trout fit with a standard cubic least squared regression (solid line; equation  
 879 above the x-axis) and associated 95% confidence interval (dotted lines). The heavy  
 880 dashed line represents the fitted cubic regression.

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883

#### 884 **Appendix: Summary temperature metrics for source population waters**

885

886 Table A.1.— Long-term water temperature monitoring was achieved by deploying digital  
 887 thermographs<sup>a</sup> in metal housings anchored to the streambed in areas likely to scour.  
 888 Units were set to acquire temperatures every 30 or 60 min, and thermographs were  
 889 replaced every 1-4 years. Annual maximum 30 d average temperature (M30AT<sup>b</sup>), the  
 890 maximum weekly maximum temperature (MWMT<sup>c</sup>), and daily maximum temperature  
 891 (2-hr rolling average maximum<sup>d</sup>) were calculated<sup>e</sup> for each study population.

892

893

894 Population	Year	MWMT (°C)	M30AT (°C)	Daily Max (°C)
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895 Milk Creek <sup>f</sup>				
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896	2012	25.0	18.6	
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897	2013	25.3	18.6	
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898	2015	22.5	16.4	23.3
-----	------	------	------	------

899	2016	23.6	17.1	24.7
-----	------	------	------	------

900	2017	25.0	18.3	25.2
-----	------	------	------	------

901	2018	25.8	18.9	27.0
-----	------	------	------	------

902	2019	23.3	16.8	24.4
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903				
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904	Mean	24.4	17.8	25.7
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905

906	Zimmerman Lake <sup>g</sup>				
907		2012	15.8	13.1	16.4
908		2013	14.5	12.3	15.1
909		2014	13.7	11.6	14.6
910		2015	13.6	11.8	13.9
911		2016	14.1	12.5	14.6
912		2017	14.5	12.3	15.2
913		2018	15.1	12.8	15.9
914		2019	14.4	12.7	15.3
915		2020	14.6	12.7	15.2
916					
917	Mean		14.5	12.4	15.1
918					
919	Lake Nanita <sup>h</sup>				
920		2002	19.2	15.7	20.0
921		2003	16.4	14.1	17.5
922		2004	16.1	12.8	17.0
923		2005	15.8	13.0	16.6
924		2013	17.6	14.3	18.1
925		2014	14.4	12.4	14.9
926		2015	16.2	13.6	17.2
927		2017	15.3	12.5	16.2
928		2018	18.1	14.6	18.7
929		2019	15.6	12.8	16.1
930		2020	17.2	14.2	17.7
931					
932	Mean		16.5	13.6	17.3
933					
934	Yellowstone River <sup>i</sup>				
935		2001	21.2	16.9	22.2
936		2002	19.8	17.0	20.6
937					
938	Mean		20.5	17.0	21.4
939					
940	Trappers Lake <sup>j</sup>				
941		2012	19.0	17.6	20.4
942		2013	19.1	17.5	20.1
943		2014	18.8	16.6	19.8
944		2015	17.6	16.0	18.6
945		2016	18.2	16.5	19.3
946		2017	18.8	17.1	20.8
947		2018	18.9	17.4	19.7

948		2019	18.2	16.6	19.5
949		2020	18.4	16.6	19.2
950					
951	Mean		18.6	16.9	19.7
952					

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953 <sup>a</sup>HOBO Water Temp Pro v2 (Onset Computer Corp, Bourne, Massachusetts)

954 <sup>b</sup>Chronic metric used for characterizing growth conditions (Roberts et al. 2013)

955 <sup>c</sup>Acute metric used for characterizing upper bound for persistence (Isaak et al. 2010)

956 <sup>d</sup>Acute metric used for setting water quality standards (Todd et al. 2008)

957 <sup>e</sup>Temperature summary metrics calculated using WaTSS v. 3.0 (Rogers 2015)

958 <sup>f</sup>Thermograph located at 40.18419° N 107.66350° W

959 <sup>g</sup>These data describe the thermal regime of the founding population in Bear Creek at  
960 38.81768° N 104.89614° W

961 <sup>h</sup>Thermograph located in the outlet of Lake Nanita at 40.25889° N 105.71621° W

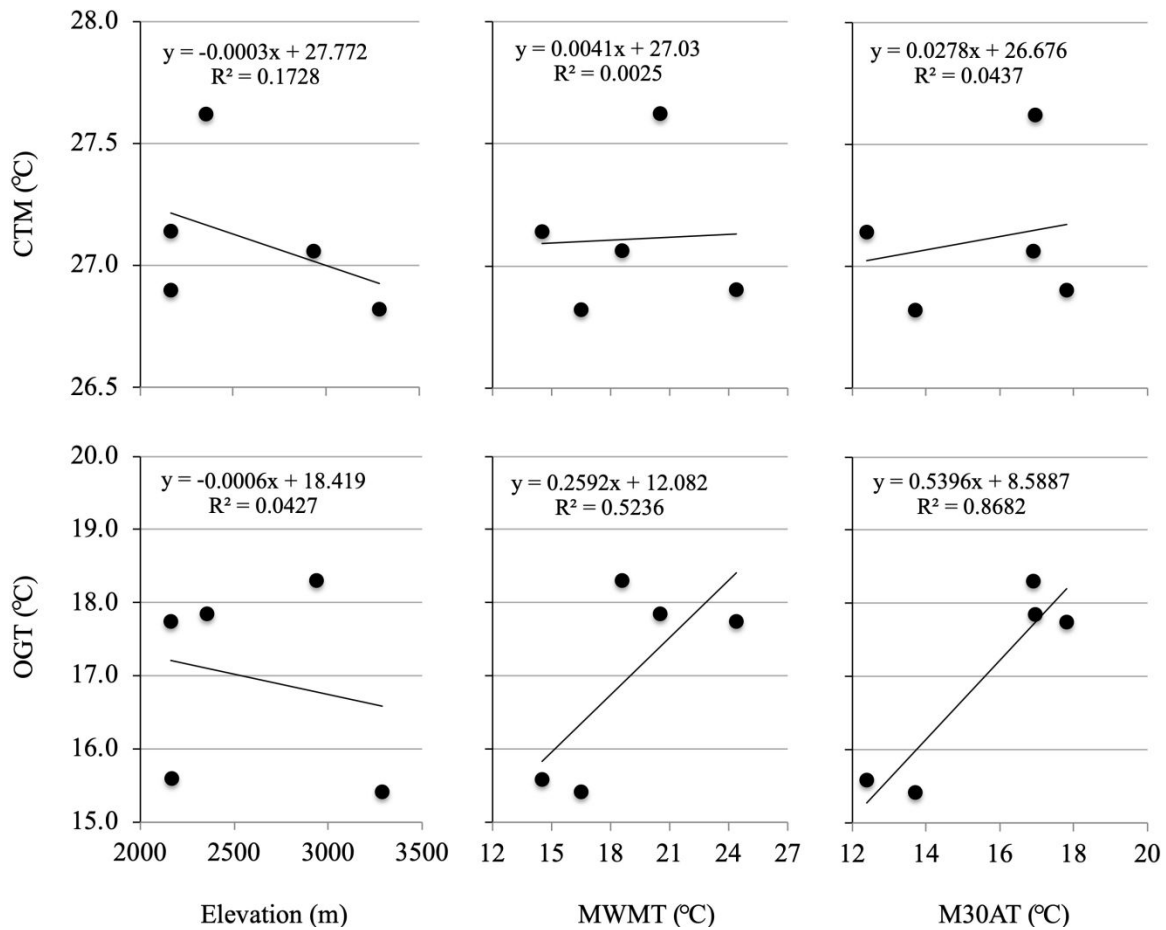
962 <sup>i</sup>Thermograph located below the outlet of Yellowstone Lake near Fishing Bridge;  
963 temperature data obtained from Yellowstone National Park (T. Koel, National Park  
964 Service, unpublished)

965 <sup>j</sup>Thermograph located in the outlet of Trappers Lake at 39.99698° N 107.23090° W

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970 Figure A.1.—Critical thermal maxima (CTM) and optimal growth temperatures (OGT)

971 for each population were plotted against elevation, maximum weekly maximum

972 temperature (MWMT), and maximum 30-d average temperature (M30AT) experienced

973 by each population.

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